



# Joint doctorate programme agreement

This agreement is made and entered into by and between:

Universiteit Leiden, a legal entity under the laws of the Netherlands, having its offices at Rapenburg 70 (2311 EZ), Leiden, The Netherlands, hereinafter referred to as "Leiden University";

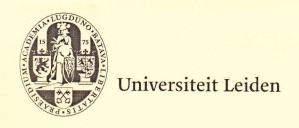
Universitas Gadjah Mada, a legal entity under the laws of Indonesia, having its offices at Bulaksumur, Yogyakarta, 55281, Indonesia, hereinafter referred to as "UGM";

each of the above may also be referred to as "Party" and, collectively, as "the Parties".

#### Whereas:

- Parties have expressed the interest to collaborate in a joint doctorate programme; and
- Now wish to establish the structure and management of said programme,

Now therefore have agreed as follows:





#### 1. DEFINITIONS

Each following capitalized word shall have the meaning attributed to it below:

Agreement: shall mean this Joint doctorate programme agreement, including

any annexes attached thereto;

Awarding Party shall mean the Party which initially awards the PhD diploma to

the Candidate; In this case Leiden University.

Candidates: shall mean applicants who meet the criteria for participation in

the Programme and have been accepted to the Programme;

Consortium: shall mean the Parties jointly;

Coordinator: shall be Leiden University;

Defaulting Party: shall mean the Party in breach of the Agreement and identified

as such by the Programme Board;

Duration: shall mean the duration of the Programme as of the Effective

Date; In this case: 5 years

Effective Date: shall mean the start date of the Programme, 01-09-2013;

Field: shall mean the academic field of History;

Host Party: shall mean the Party where the Candidate spends a significant

amount of time in the course of the Programme; In this case host

parties are Leiden University and UGM.

IP Rights: shall mean intellectual property rights granted by law, such as

copyrights, patents, design rights, plant variety rights, etc.

Language: shall be the language for the research and teaching activities of

the Programme. In this case, English;

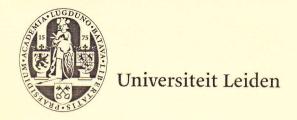
PhD Agreement: shall mean the agreement between a Party and a Candidate, as

set out in Annex 2;

Programme: shall mean the structured educational program in the Field, as

set out in Annex 1;

Programme Board: the ultimate decision-making body of the Consortium;





Programme Body: shall mean a decision making body of the Programme, as listed in

article 4.1;

Results: shall mean the outcome of research carried out by a Candidate

under the Programme;

### 2. OBJECTIVE

**2.1** Parties shall faithfully work together to execute the agreed upon Programme. Each Party commits itself to provide all necessary information to the Coordinator in time to ensure the good functioning of the Programme.

2.2 Each Party shall act in accordance with the doctoral regulations in force at each of the Parties. In the event of contradictory stipulations, the doctoral regulations of the Awarding Party shall apply.

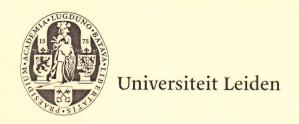
2.3 This Agreement aims at facilitating the structured cooperation among the Parties towards the execution of the Programme. The Parties will cooperate in order to:

- Benefit from the scientific strengths of both parties
- Foster the international mobility of young researchers;
- Enable young researchers to work in an international setting;
- Enhance the career prospects of young researchers;

2.4 This Agreement serves as a framework for the individual agreements between the PhD candidates and the participating supervisors and institutions. PhD Agreements can be entered into according to the format in ANNEX 1.

#### 3. PROGRAMME ORGANIZATION

- **3.1** The Programme will start on the 01-09-2013 and last 5 years. The content of the Programme is described in Annex 1.
- **3.2.1** Applicants may request admission to the Programme by applying through one of the Parties, as may be specified under the Agreement.
- 3.2.2 The programme aims to accept four qualified Candidates.
- 3.3 Admission to the Programme is granted by the Programme Board, provided the applicant meets the appropriate criteria, as set out in article 5.
- 3.4 Candidates shall enter into an agreement with the Awarding Party further detailed in article 5.4. The agreement shall take the form of the PhD Agreement, which has been attached as Annex 2,.
- 3.5 The doctoral dissertation of each Candidate shall be written in English.





## Mobility

3.6 The Candidates will spend approximately 3 years at UGM and 1 year at Leiden University. The supervisor from Leiden University will also visit UGM at least once a year for the supervision of the PhD candidates.

3.7 The Parties shall assist Candidates with relevant procedures outside the academic scope, such as obtaining visa, finding housing, obtaining insurance, etc. However, the scope of this assistance is limited to the support the Parties offer to its regular PhD students.

**3.8** The Host Parties (Leiden University and UGM) shall use its reasonable endeavors to ensure that Candidates have access to the relevant academic facilities, infrastructure (e.g. libraries and ICT service) and support (e.g. language courses) to enable the Candidate to participate successfully in the Programme.

#### Supervision

3.9 Each Candidate will have a supervisor assigned at UGM and at Leiden University. The PhD Agreement between the individual Candidate and the Awarding Party states the names of the supervisors and their responsibilities, as set out in the PhD Agreement.

## Doctoral Defense and Degree

3.10 The examination committee for each doctoral examination (doctoral dissertation and defense of the dissertation) shall be composed by mutual consent between the Parties. At least one of the members of the committee is not appointed/employed at Leiden University or UGM.

- **3.11** The initial public defense of the doctoral dissertation shall take place in English at Leiden University.
- 3.12 In the event that the Candidate passes the joint doctoral examination, the Parties shall award him/her the agreed upon diploma, provided they are eligible to do so, officially recognized by all Parties.
- 3.13 The doctor's degree diploma will be drawn up by Leiden University.





#### 4. GOVERNANCE

#### 4.1

Both Parties shall appoint a representative to manage the execution of the Programme. These representatives form the Programme Board.

For Universiteit Leiden:

Prof. Dr. J.J.L. Gommans

Professor of Colonial and Global History at the Institute of History at the Faculty of Humanities at Leiden University

For UGM

Prof. Dr. Bambang Purwanto

Department of History at the Faculty of Cultural Sciences at Universitas Gadjah Mada

- 4.2 The Programme Board is the ultimate decision making body of the Consortium. It is responsible for the selection of the Candidates, it shall ensure the quality and continuity of the Programme, ensure the accurate and complete records are kept and the legal representatives of their respective Party are regularly and properly informed.
- **4.3** All communication within the Consortium concerning the governance shall take place in English.
- 4.4 The Programme Board shall decide unanimously.
- 4.5 The following decisions shall be taken by the Programme Board:
  - Content, finances and intellectual property rights
  - Amendments to the Programme
  - Amendments to the budget
  - Amendments to the admission criteria
- **4.7** The Programme Board shall ensure that accurate records are kept of its deliberations and decisions.





### 5. MANAGEMENT OF THE PROGRAMME

**5.1** The Consortium will organize common standards for admission into the Programme, a common application procedure and a joint Candidate selection procedure.

Joint application procedure

5.2 Applicants submit their applications to the Programme Board and to the Graduate School of Humanities of Leiden University. Applicants must satisfy the requirements specified in the application procedure as published on the Programme's website and set out below in the Programme description (Annex 1).

Joint selection procedure

**5.3** Admission of applicants into the Programme is the responsibility of the Programme Board, who, when deciding whether to admit, will take into account the applicant's previous curriculum, language proficiency, and preferences. In Leiden University, the Candidate will also need to apply and be admitted to the Graduate School of Humanities.

## PhD Agreement

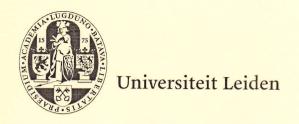
- 5.4.1 Between each Candidate and a Party, upon the start of his/her participation in the Programme, a PhD Agreement is signed within the first year of the start of the PhD. A template of this agreement has been attached in Annex 2.
- **5.4.2** This PhD Agreement defines the academic, research, supervisory, financial and administrative modalities of the Candidate's participation in the joint doctorate programme. This PhD Agreement shall be signed by the candidate, the representatives of the Awarding Party and the candidate's supervisors.

### 6. STATUS OF CANDIDATES

- 6.1 During their stay at Leiden University, Candidates shall be offered an unpaid position as guest researcher and enrollment as a PhD student. During their stay at UGM, Candidates will be registered as a regular Doctorate/PhD student.
- **6.2.1** Candidates must be in possession of a valid residence permit during their stay at Leiden University.
- **6.2.2** Candidates are required to have adequate health insurance as well as third party insurance or any other insurance.

#### 7. FINANCE

7.1 Contributions to the expenses of the doctoral candidate that are related to the





Programme and/or to the doctoral defense, shall be discussed and agreed upon by mutual written consent of all Parties.

7.2.1 The Consortium agrees on the following financial agreements:

During the stay at Leiden University,

- · No registration fees, tuition fees or bench fees will be charged
- Candidates will be able to make use of office space, a workstation and a library card and will be able to attend academic activities
- Financial arrangements are stipulated in Annex 3

7.2.2 The above are the financial arrangements for all candidates in the Programme; tailor made agreements for individual Candidates can be made in PhD Agreement, in accordance with the individual agreement in Annex 2.

#### 8. RESULTS IP & PUBLICATION

- 8.1 Parties subscribe to the following principles:
  - 1. Freedom to publish own results;
  - 2. Freedom to do follow up research;
  - 3. Freedom to do follow up research with third parties;
  - 4. Freedom to use research results for educational purposes;

#### Publication

#### Procedure

**8.2** The Party who wishes to publish shall submit the draft publication to the other Party.

## Cooperation obligations

8.3 The Parties undertake to cooperate to allow the timely submission, examination, publication and defence of the dissertation. For the defence at Leiden University, the Parties will uphold the procedures as stated in the "Leiden University Ph.D. Regulations 2008" (<a href="http://regulations.leiden.edu/research/phd-regulations.html">http://regulations.leiden.edu/research/phd-regulations.html</a>).

#### 9. RENEWAL AND TERMINATION

- 9.1 The Agreement may be renewed by mutual written consent at least three (3) months prior to the end of the Duration.
- 9.2 This Agreement may be terminated by mutual consent of the Parties jointly, provided that any conditions for such a termination set by the Programme Board are executed by the leaving Party.





9.3 Each Party shall have the right to terminate the Agreement by giving [three (3)] months' written notice in writing to the other Parties at any time, in case of the following events:

- 1. the Candidates who entered the Programme by one of the other Parties (under article 3.2) have repeatedly and/or consistently failed to meet the standards set out in Annex 1;
- 2. a Candidate(s) provided by (an)other Party/ies harms the reputation of the Party; or
- 3. the terminating Party no longer has the academic staff available to meet the requirements of the Programme.
- 9.4 Each Party may terminate this Agreement on written notice with immediate effect if:
  - 1. (one of) the other Parties acts in a way which harms (or is likely to harm) the reputation of the terminating Party;
  - 2. has committed a material breach hereunder and has failed to remedy that breach (if capable of remedy) within thirty (30) days of being given written notice thereof;

#### 10. LIABILITY

- 10.1 No Party shall be responsible to any other Party for any indirect or consequential loss or similar damage such as, but not limited to, loss of profit, loss of revenue or loss of contracts, provided such damage was not caused by a willful act or by gross negligence.
- 10.2A Party's aggregate liability towards the other Parties collectively shall be limited to that Party's budget (which may be zero) provided such damage was not caused by a willful act or gross negligence.
- 10.3 Each Party shall be solely liable for any loss, damage or injury to third parties resulting from the performance of the said Party's obligations by it or on its behalf under this Agreement
- 10.4 Parties shall not be liable towards each other for damage caused by a Candidate in the course of the Programme. Employer or other liabilities of the Party or Parties towards the Candidates shall be subject to local (labour) law.

#### 11. WARRANTIES

11.1 Parties shall ensure that the identity papers and academic qualifications of the Candidates provided are authentic and meet the appropriate (academic) standards.





11.2 Parties do not guarantee that the Candidates complete the Programme with success and/or within a given period.

#### 13 MISCELANEOUS

- 13.1 Any disputes arising under or in connection with this agreement which cannot be resolved by amicable discussions between the Parties may be submitted to an alternative dispute resolution mechanism as may be agreed in writing between the Parties.
- 13.2 The Agreement may be modified or amended by written agreement between the Parties.
- 13.3 If part of this Agreement is or becomes invalid or non-binding, the Parties shall remain bound to the remaining part. The Parties shall replace the invalid or non-binding part by provisions which are valid and binding and the effect of which, given the contents and purpose of this Agreement, is, to the greatest extent possible, similar to that of the invalid or non-binding part.

Prof.dr. C.J.J.M. Stolker,
President & Rector Magnificus Universiteit Leiden

Date:.... 26/03/2014

Prof. Dr. Pratikno, M.Soc.Sc. Rector, Universitas Gadjah Mada

D. Someone





# Annex 1 Programme description

The Making of Religious Traditions in Indonesia: History and Heritage in Global Perspective (1600-1940).

The programme is an Indonesia and Leiden-based extension of Cosmopolis funded by the Leiden University Fund (LUF) and coordinated by the Institute for History at Leiden University, and the History Department at Universitas Gadjah Mada (UGM) in Yogyakarta. It involves four three-year scholarships for PhD-research in Indonesia, running from September 2013 to September 2018. All PhD-students will investigate various aspects in connection with the overall theme: The Making of Religious Traditions in Indonesia: History and Heritage in Global Perspective (1600–1940). PhD-students will be based at the at Universitas Gadjah Mada (UGM) in Yogyakarta and at the Institute of History of the Universiteit Leiden. Their research will result in a PhD-degree from Leiden University and University Gadjah Mada.

Candidates (both from and beyond Indonesia) with at least a finished MA-thesis in History or Social Sciences are eligible to enroll in the programme. Although the PhD-fellows will make at least one long-term visit (of at least one term for additional training and academic interaction within the current Research MA-tracks of the Institute of History) to Leiden University, the day-to-day supervision of the PhD-students will be provided by staff from the UGM under the expert leadership of professor Bambang Purwanto who currently occupies the Leiden Chair for the History of Dutch-Indonesian Relations. He will be assisted by staff from other Indonesian or Southeast Asian universities (many of whom were trained in the TANAP programme) as well as by visiting staff from Leiden University.

**Entry requirements** 

MA-degree, Research Proposal and Relevant Research Thesis and a certified statement of proficiency in both written and spoken English from TOEFL, IELTS or another recognized authority in addition to a statement of level of proficiency from your local academic supervisor (the minimal requirement is IELTS 6.5 or TOEFL 550/7.9).





# Annex 2: Individual Joint Doctoral Degree Agreement

The following parties

for each partner:

Universitas Gadjah Mada, represented by Prof. Dr. Pratikno, M.Soc.Sc., Rector

and

Universiteit Leiden, represented by Prof.dr. C.J.J.M. Stolker, President & Rector Magnificus

and

mr. /ms. <first name> <name>, hereinafter 'the doctoral candidate'

hereinafter, the afore-mentioned shall be referred to jointly as 'the Parties',

have,

Account being taken of the Regulations concerning the obtaining of the academic degree of doctor at Leiden University, in view of the provisions of the Dutch Higher Education and Research Act (Wet op het hoger onderwijs en wetenschappelijk onderzoek, WHW), and in particular article 7.18; Account being taken of the Leiden University PhD Regulations, laid down by order of the Doctorate Board,

agreed the following:

## Article 1. Subject: joint supervision and awarding of a doctoral degree

The partner institutions agree that they shall be jointly responsible for the educational programme of the doctoral candidate, the supervision of his/her doctoral research and thesis, and the jointly awarding of the doctoral degree.





The doctoral candidate's personal information:
Name and initials:  Date of birth:  Place of birth: <town>, <country> Nationality:</country></town>
Article 2. Subject of the doctoral dissertation
The subject of the doctoral dissertation shall be:
Article 3. Supervision of the doctoral candidate
The doctoral candidate's supervisors shall be:
[for each partner institution] At the Universitas Gadjah Mada Name:
At Universiteit Leiden:  Name:  Faculty:  Research Institute:  Position:
The supervisors agree to advice the doctoral candidate and perform their roles and responsibilities as thesis supervisor in accordance with the regulations in force in their respective institutions.
Article 4. Start and duration of the doctorate
Registration of the doctoral candidate for doctoral studies under joint supervision takes effect

#### Article 5. Committee for the doctoral examination

The examination committee for the doctoral examination (doctoral dissertation and defence of the dissertation) shall be composed by mutual consent of the partner institutions. At least one of the members of the committee is external to the partner institutions.

dissertation lasts <number of months>. If necessary, the period may be extended by mutual consent, account being taken of the applicable regulations at each partner institution.

#### Article 6. Defence of the doctoral dissertation





The public defence of the doctoral dissertation shall take place in English at Leiden University.

#### Article 7. Awarding of the degree

The doctor's degree diploma will be drawn up by Leiden University and shall be signed by the representative of <name universities involved>.

### Article 8. Doctoral regulations

By signing this agreement, the doctoral candidate and the supervisors pledge to act in accordance with the doctoral regulations enforced at each of the partner institutions. In the event of contradictory stipulations, the doctoral regulations of the home university shall apply.

### Article 9. Dispute resolution

Any disputes arising under or in connection with this agreement which cannot be resolved by amicable discussions between the Parties or mediation by the Dean, shall be resolved by the competent court of the home institution.

### Article 10. Requirement for satisfactory progress

Continued registration for the doctoral degree, as defined in this agreement, depends on the doctoral candidate making satisfactory academic progress, as defined in the academic regulations of the universities involved. Should any concerns arise, consultation will take place involving both supervisors before the normal procedures at the involved universities are invoked.

#### Article 11. Enforcement and termination of the agreement

This agreement shall take effect on <date>and shall end the day after the doctoral degree has been awarded.

The present agreement can be terminated

- by mutual consent of all Parties involved;
- by the doctoral candidate, in writing, giving a summary of the reasons for the decision;
- by either university, should the candidate be in serious and ongoing breach of the regulation of the university;
- by either university, if the doctoral candidate fails to make satisfactory academic progress and the normal procedures of the university for dealing with the problem have not been effective.

Before termination of the agreement is contemplated, there must be consultation between the Parties. If this agreement is terminated, barring any agreement to the contrary, the unspent portion of any financial aid obtained from an external body must be reimbursed to that body.





Date:	
Mr./Ms	<name candidate="">,</name>
Date:	
Prof. Dr. Pratikno, M.Soc.Sc., Rector Universitas Gadjah Mada	
······	
Date:	
Prof.dr. C.J.J.M. Stolker, President & Rector Magnificus Univers	siteit Leiden



SEEN AND AGREED



Joint Doctoral Programme Agreement

Date:
<name>, supervisor Leiden University</name>
SEEN AND AGREED
Date:
<name>, supervisor Universitas Gadjah Mada</name>





# **ANNEX 3: Financial Arrangement**

The programme is sponsored by a grant from the Leiden University Foundation (LUF) granted to Leiden University (in the person of Prof. J.J.L. Gommans. The grant will cover:

- Costs for living allowance in Indonesia for 36 months
- Costs for health insurance in Indonesia for 3 years
- Costs for living allowance in the Netherlands
- Costs for travel and health insurance in the Netherlands
- A research allowance
- Support in travel expenses of students
- Travel expenses of staff
- Support for conference visits

The grant will be managed by the Institute of History of the Faculty of Humanities of Leiden University and they will act according to the requirements of LUF.

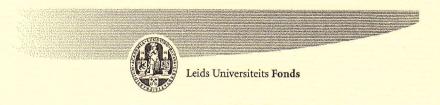
The offer letter of the LUF grant, including its financial plan, is attached.



## Universiteit Leiden



Joint Doctoral Programme Agreement



Prof.dr. J.J.L. Gommans Instituut voor Geschiedenis Doelensteeg 16 INTERN

Leiden, 13 december 2013 Betreft: subsidie 'TANAP II: The making of religious traditions in Indonesia'

#### Geachte heer Gommans,

Middels deze brief willen wij graag bevestigen dat wij de volgende afspraken hebben gemaakt over de declaraties m.b.t. bovengenoemde subsidie:

- Wij zijn akkoord met het 'financial plan' inclusief 'notes' in de bijlage.
- Wij zullen de eerste tranche van Rp 700.000.000 overmaken vóór 1 januari 2014.
   De volgende tranches worden overgemaakt na een financiële verantwoording van het voorgaande jaar, de laatste 10% van het budget van Rp 2.100.000.000 zal worden overgemaakt na ontvangst van de laatste financiële verantwoording.
- De totale LUF-bijdrage zal de oorspronkelijke toezegging van € 200.000,- niet overstijgen, ook niet ten gevolge van koerswisselingen van de Indonesische Roepia.
- Met betrekking tot het in de 'notes' genoemde bedrag van € 25.000,- kan in 2014 een voorschot van € 5.000,- gedeclareerd worden, hiervan dient te zijner tijd een financiële verantwoording ingediend te worden.

Graag ontvangen wij een exemplaar van deze brief getekend retour, inclusief door u geparafeerde bijlage. Het tweede exemplaar is voor uw administratie.

Mw. A.R. Neve

Met wiendelijke groet,

Directeur

door ons geaccordeerd 'financial plan' Rapenburg 61 2311 GJ Leiden

Rapenburg 61 2311 GJ Leiden

telefoon 071 513 05 03 fax 071 514 08 41

e-mail info@LUF.leidenuniv.nl

internet www.LUF.nl / www.LeidenAlumni.nl

ING Bank 16.45.35 K.v.K. 411.55.882 BTW nr NL.00.28.18.255.B01

of. J.J.L. Gommans

Bijlage





## FINANCIAL PLAN LUF SCHOLARSHIP UGM-LEIDEN UNIVERSITY PHD PROGRAMME ON THE MAKING OF RELIGIOUS TRADITIONS IN INDONESIA: HISTORY AND HERITAGE IN GLOBAL PERSPECTIVE (1600-1940) YOGYAKARTA - LEIDEN, 2013 - 2018

I. Approved Grant €200.000 x Rp 12.000	= Rp 2.400.000.000
II Canadian	
II. Spending	
1. Yogyakarta: (a) Living allowance 4 x 36 x Rp 8.000.000	= Rp 1.152.000.000
(b) Health insurance 4 x 3 x Rp 4.000.000	= Rp 1.132.000.000 = Rp 48.000.000
The state of the s	= Rp 240.000.000
(c) Research allowance 4 x Rp 60.000.000 Sub-total	= Rp 1.440.000.000
Sub-total	- Kp 1.440.000.000
2. Transport:	
(a) Amsterdam – Yogyakarta 1 x 2 x Rp 15.000.000	= Rp 30.000.000
(b) Delhi – Yogyakarta 1 x 2 x Rp 10.000.000	= Rp 20.000.000
(c) Surabaya – Yogyakarta 1 x 2 x Rp 1.500.000	= Rp 3.000.000
(d) Salatiga – Yogyakarta 1 x 2 x Rp 500.000	= Rp 1.000.000
Sub-total Sub-total	= Rp 54.000.000
3. Leiden:	
(a) Yogyakarta – Amsterdam pp 4 x 1 x Rp 15.000.000	= Rp 60.000.000
(b) Living allowance 4 x 6 x Rp 15.000.000	= Rp 360.000.000
(c) Travel & Health Insurance 4 x Rp 5.000.000	= Rp 20.000.000
Sub-total	= Rp 440.000.000
4. Conferences:	
(a) Domestic air travel 4 x 1 x Rp 3.000.000	= Rp 12.000.000
(b) Domestic accom. + food 4 x 4 x Rp 1.750.000	= Rp 28.000.000
(c) International air travel 4 x 2 x Rp 10.000.000	= Rp 80.000.000
(d) International accom. + food 4 x 4 x Rp 2.500.000	= Rp 40.000.000
Sub-total	= Rp 160.000.000
F. Others and fundamental	- Pa 6 000 000
5. Others expenses (unforeseen)	= Rp 6.000.000
Grand-total	= Rp 2.100.000.000
Ciulia Cotal	